

**Ballast Water  
Management Convention  
and BWMS Code  
WITH GUIDELINES FOR IMPLEMENTATION**

2018 Edition

**Supplement**  
May 2022

*The following amendments to regulation E-1 and Appendix I of the International Convention for the Control and Management of Ships' Ballast Water and Sediments, 2004 were adopted on 20 November 2020 by the Marine Environment Protection Committee, at its seventy-fifth session, by resolution MEPC.325(75) and enter into force on 1 June 2022.*

International Convention for the Control  
and Management of Ships' Ballast Water  
and Sediments, 2004

**Annex**

*Regulations for the control and management  
of ships' ballast water and sediments*

**Section E – Survey and certification requirements for  
ballast water management**

**Regulation E-1**

*Surveys*

1        *Paragraph 1.1 is replaced by the following:*

- “1. An initial survey before the ship is put in service or before the Certificate required under regulation E-2 or E-3 is issued for the first time. This survey shall verify that the ballast water management plan required by regulation B-1 and any associated structure, equipment, systems, fitting, arrangements and material or processes comply fully

with the requirements of this Convention. This survey shall confirm that a commissioning test has been conducted to validate the installation of any ballast water management system by demonstrating that its mechanical, physical, chemical and biological processes are working properly, taking into account the guidelines developed by the Organization.\*

\* Refer to the 2020 *Guidance for the commissioning testing of ballast water management systems* (BWM.2/Circ.70/Rev.1), as may be amended."

2 *Paragraph 1.5 is replaced by the following:*

".5 An additional survey, either general or partial, according to the circumstances, shall be made after a change, replacement, or significant repair of the structure, equipment, systems, fittings, arrangements and material necessary to achieve full compliance with this Convention. The survey shall be such as to ensure that any such change, replacement or significant repair has been effectively made, so that the ship complies with the requirements of this Convention. When an additional survey is undertaken for the installation of any ballast water management system, this survey shall confirm that a commissioning test has been conducted to validate the installation of the system by demonstrating that its mechanical, physical, chemical and biological processes are working properly, taking into account the guidelines developed by the Organization.\*

\* Refer to the 2020 *Guidance for the commissioning testing of ballast water management systems* (BWM.2/Circ.70/Rev.1), as may be amended."

# Appendix I

## Form of International Ballast Water Management Certificate

### INTERNATIONAL BALLAST WATER MANAGEMENT CERTIFICATE

3 *The footnote of "IMO number" under the title "Particulars of ship" is replaced by the following:*

"IMO Ship Identification Number Scheme adopted by the Organization by resolution A.1117(30), as may be amended."

4 *The text under the title "Details of ballast water management method(s) used" is replaced by the following:*

"Method of ballast water management used .....

Date installed (if applicable) (dd/mm/yyyy) .....

Name of manufacturer (if applicable) .....

The principal ballast water management method(s) employed on this ship is/are:

- in accordance with regulation D-1
- in accordance with regulation D-2  
(describe) .....
- the ship is subject to regulation D-4
- other approach in accordance with regulation ....."